

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

1737/013

First Named Inventor or Application Identifier

Katsuki HAZAMA

Title

Multilevel Semiconductor Memory, Write/Read  
Method Thereto/Therefrom And Storage Media  
Storing Write/Read Program

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patent  
Box Applications  
Washington, DC 20231☒ Filing Fee as calculated below.☒ Specification [Total Pages [ 66 ]]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Pages [ 10 ]]

4. Oath or Declaration [Total Pages [ 1 ]]

a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference (useable if Box 4b is  
checked) The entire disclosure of the prior  
application, from which a copy of the oath or  
declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference  
therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations12. ☒ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☒ Other: Certified copies Priority Documents (JP  
267844/1996, filed September 18, 1996 and  
342663/199, filed December 6, 1996) were filed in  
parent application (U.S. Appln. 08/931,519)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)of prior application No. 08/931,519, filed  
September 16, 1997**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

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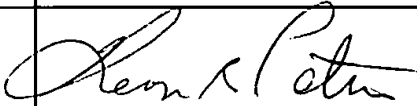
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# Fee Calculation and Transmittal

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		NON-SMALL ENTITY	
	NO. FILED			NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL	37	minus	20	= 17	x 9=	\$	x18=	\$306
INDEP	15	minus	3	= 12	x39=	\$	x78=	\$936
First Presentation, Multiple Dependent Claims					+130=	\$	+260=	\$0
Base Filing Fee						\$380		\$760
Other Fee (specify purpose)						\$		\$
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR TOTAL	\$2,002

- ☒ A check in the amount of \$2,002.00 to cover the filing fee is enclosed
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16 and 1.17
- ☐ Charge the Issue Fee set in 37 CFR § 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b)

Name (Print/Type)	George R. Pettit	Registration No. (Attorney/Agent)	27,369
Signature		Date	11/12/99